Capstone: Who owns ___, and who controls it?

Name: _____ Class: _____ Date: _____

You will make an ownership profile of one company: who owns its shares, who controls its votes, and how it sends cash back to shareholders. Every fact needs its filing and its date.

What to do

1. Choose one company from the cards below.
2. Fill in the research log on your worksheet from the card.
3. Find one more fact in the company's proxy statement or 10-K (links on each card).
4. Make a one-page profile, a poster or a 3-slide talk, using the template.

Part A: Research log

Write each fact and its source: the card, or the filing with its section and date.

My company: _____ Fiscal year ends: _____

1. Share classes and votes per share: _____ Date: _____ Source: _____

2. Largest holder and part held: _____ Date: _____ Source: _____

3. Insiders' part (directors and officers): _____ Date: _____ Source: _____

4. Dividends per share, fiscal year on the card: $_____ FY_____ Source: _____

5. Buybacks, period on the card: $_____ Period: _____ Source: _____

6. One more fact from the proxy statement or 10-K: _____ Section: _____

Part B: Template

Who owns _____, and who controls it? (facts dated _____ to _____)

1. Shares and votes. _____ has ____ class(es) of shares. _____ . Source: _____

2. Who holds the most. On _____, the largest holder was _____, with _____%. Source: _____

3. Insiders. The directors and executive officers held ____ on ____. Source: _____

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4. Cash back to shareholders. In FY_____, the company paid $_____ per share in dividends and spent $_____ on buybacks. Source: _____

5. One more fact. "_____" (filing, section)

1. **Sources.** List each filing (company, form, date filed) and the card you used.

_____

_____

Part C: Self-check before you hand it in

☐ Every number has its date or fiscal year next to it.

☐ Every fact names its source: the card, or the filing with its section.

☐ I did not add rows that overlap (for example, a trust inside a holding company's total).

☐ I described the company. I gave no advice about its shares.

☐ I used no ranking or judging words such as "best," "worst," "fair" or "unfair."

☐ A classmate could explain who controls my company's votes after reading this.

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Exit ticket · Day 2 or Day 3.

Name: _____ Class: _____ Date: _____

Part D: Exit ticket

1. In one sentence, who controls your company's votes, and how?

2. Which filing lists a company's insiders and biggest holders?

3. Name one difference between a dividend and a buyback.

4. Rewrite this sentence so it only describes: "Meta's voting setup is a bad deal for Class A owners."

5. Rewrite this sentence so it uses the filing, not a guess: "Apple will buy back more stock next year."

Word bank

Share class	A group of shares with the same rules, such as Class A or Class B.
Insider	A director or top officer of the company, or a holder of more than a tenth of a class.
Proxy statement	The yearly filing that lists insiders and big holders.
Dividend	Cash paid to every shareholder, the same amount per share.
Buyback	The company buying its own shares from holders who sell.

Sentence starters

- _____ has _____ class(es) of shares. Class _____ carries _____ vote(s) per share.
- On _____ (date), the largest holder was _____ with _____ %, according to _____.

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- In FY____, ____ paid $____ per share in dividends and spent $____ on buybacks.

student page

Scan the code or type: **cityfort.co/class/ownership-project**

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